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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ogawa, Kazuo

Serial No.: 09/964,995

Filed: 09/27/2001

Title: Semiconductor Device and
Manufacturing Method Therefor
Attorney Docket No.: N29748500S

Group Art Unit: 2811
Examiner: Tran, T.

Commissioner for Patents,
P.O. Box 1450,
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
 - (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
 - Certification under 37 CFR 1.97(e), or
 - a \$240.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
 - Certification under 37 CFR 1.97(e), and
 - a petition under 37 CFR 1.97(d)(2)(ii), and
 - a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
 - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation, or equivalent, together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Darryl G. Walker
Attorney/Agent for Applicant(s)
Reg. No. 43,232

Date: August 26, 2003

Telephone No.: 1-408-289-5314

37 CFR §1.8

I hereby certify that this correspondence is being

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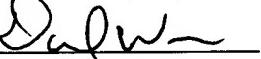
fax number: _____

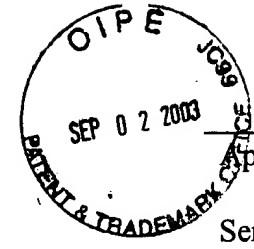
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Date of Deposit: August 26, 2003

Typed/Printed Name: Darryl Walker

Signature: 



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Group Art Unit: 2811
Examiner: Tran, T.

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

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Date of Deposit: August 26, 2003

Typed/Printed Name: Darryl Walker

Signature: Darryl Walker

By Darryl Walker
Darryl Walker
Attorney/Agent for Applicant(s)
Reg. No.: 43,232

Date: August 26, 2003

Telephone No.: 1-408-289-5314



INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	09/964,995
				Filing Date	September 27, 2001
				First Named Inventor	Ogawa, Kazuo
				Group Art Unit	2811
				Examiner Name	Tran, T.
Sheet	1	of	1	Attorney Docket Number	N29748500S

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Korean Office Action of 06/27/2003
		English Translation of the above-mentioned Korean Office action dated June 27, 2003

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.